

2001

PTTGrants

Call
for Proposals

US Department of the Interior • National Park Service
National Center for Preservation Technology and Training



PRESERVATION TECHNOLOGY AND TRAINING GRANTS

PTTGrants

Information in this brochure also is available from NCPPT's Web site <www.ncptt.nps.gov> and via return e-mail (send a blank message to <pttgrants@ncptt.nps.gov>).

FY2001 Preservation Technology and Training Grants

Call for Proposals

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National Center for Preservation Technology and Training

The National Center for Preservation Technology and Training (NCPTT) is part of the National Park Service's Cultural Resources Stewardship and Partnerships office. NCPTT's work focuses on applying new technologies in historic preservation and cultural resources conservation.

NCPTT seeks to advance the art, craft and science of historic preservation in the fields of archeology, historic architecture, historic landscapes, objects and materials conservation, and interpretation. NCPTT is organized in three components: research, training and information management. NCPTT undertakes its work in partnership with the national and international preservation community through projects developed by NCPTT staff by collaborating with partners and through projects supported by grants awarded in open competition.

As part of National Historic Preservation Act Amendments of 1992, Congress created NCPTT, the Preservation Technology and Training Board and the Preservation Technology and Training Grants program.

The PTTBoard advises the Secretary of the Interior and the National Park Service on NCPTT's development and operation.

The PTTGrants program supports four types of projects as described in this brochure.

NCPTT's purposes include —

- developing and distributing preservation and conservation skills and technologies for the identification, evaluation, conservation and interpretation of prehistoric and historic resources
- developing and facilitating training for Federal, state and local resource preservation professionals, cultural resource managers, maintenance personnel, managers, and others working in the preservation field
- taking steps to apply preservation technology benefits from ongoing research by other agencies, tribes and institutions
- facilitating the transfer of preservation technologies among Federal agencies, tribes, state and local governments, universities, international organizations, and the private sector
- cooperating with international organizations for the benefit of cultural resource conservation in the United States and worldwide.

NCPTT's purposes are implemented in collaboration with public and private agencies, organizations and institutions, including other components of the National Park Service, State and Tribal Historic Preservation Offices, local governments, Indian tribes, Alaska Natives, Native Hawaiian organizations, national professional organizations, and international preservation organizations.

For further information about NCPTT and NCPTT activities, visit NCPTT's Web site, <www.ncptt.nps.gov>, or contact NCPTT.

Preservation Technology and Training Grants

How to use the information in this brochure

Applicants for PTTGrants should be thoroughly familiar with —

1. Page 2: NCPTT
2. Pages 3-5: The goals and process of the PTTGrants program
3. Pages 6,7,8,9,10: *Guidelines for PTTGrants* for each of the four project types so that an applicant can select the PTTGrants project type that best suits an applicant's project
4. Pages 6,7,8,9,10: *Proposal requirements* for the PTTGrants project type under which the applicant intends to submit a proposal.

General information

The PTTGrants program supports work in archeology, historic architecture, historic landscapes, objects and materials conservation, and interpretation. For the fiscal year 2001 PTTGrants program, proposals are requested in the following four project types —

<i>PTTGrants project type 1</i>	Information management
<i>PTTGrants project type 2</i>	Training and education
<i>PTTGrants project type 3</i>	Research
<i>PTTGrants project type 4</i>	Environmental effects

PTTGrants are awarded competitively. Successful proposals share eight principal characteristics —

1. Proposal demonstrates thorough understanding of NCPTT and the PTTGrants program.
2. Project contributes directly and substantially to —
 - technologies for preserving tangible cultural resources
 - training in preservation and conservation technologies
 - dissemination of preservation and conservation technologies and information.
3. Project is based on creative ideas in preservation or conservation.
4. Project is significant for the US and/or international preservation and conservation communities.
5. Project is proposed by capable organizations and capable principal investigators.
6. Proposal is clear, concise and complete.
7. Proposal demonstrates good understanding of the goals of relevant US preservation and conservation programs and organizations — AIC, ASTM, etc.
8. Project work is integrated with and contributes to the work of US preservation programs and organizations and/or cooperating with international organizations.

Information on projects that have received PTTGrants support is available online in two formats. The PTTClearinghouse features the Grants and Projects Catalog <www.ncptt.nps.gov/catalog>, a

database of grants and projects initiated since 1994 that can be queried by keyword, discipline, grant type, project type, fiscal year, PTTPublication number, or by multiple criteria. The catalog also lists products such as reports, journal publications, CDs, videos, and Web sites and provides a means to order copies online. The Fall Supplement 1999 edition of NCPTT Notes <www.ncptt.nps.gov/notes/34/> is a listing of grants and projects from 1994 to 1999, including their resulting products.

FY2001 PTTGrants will be awarded during the Federal fiscal year 2001 — October 1, 2000-September 30, 2001. PTTGrants are funded by annual Federal appropriation; PTTGrants awards are subject to availability of funds.

Eligible applicants

As cited in legislation that authorized the PTTGrants program, "Eligible applicants may include Federal and non-Federal laboratories; accredited museums; universities; nonprofit organizations; offices, units, and Cooperative Park Study Units of the National Park System; State Historic Preservation Offices; tribal preservation offices; and Native Hawaiian organizations."

PTTGrants proposals will be considered from the following institutions, organizations and government agencies —

1. US academic institutions: Universities and four- and two-year colleges
2. US non-profit organizations: Non-academic museums, research laboratories, professional societies and similar organizations in the US that are directly associated with educational or research activities
3. Government agencies in the US: Federal, state (including District of Columbia, US Territories and Freely Associated States) and local government agencies, and Native American/Alaska Native tribes and their Tribal Historic Preservation Offices
4. US for-profit organizations or unaffiliated US citizens may submit PTTGrants proposals only in partnership — by submitting a joint proposal — with an eligible US academic institution, US non-profit organization or US government agency.
5. Non-US institutions, organizations or government agencies, or unaffiliated non-US citizens may submit PTTGrants proposals only in partnership — by submitting a joint proposal — with an eligible US academic institution, US non-profit organization or US government agency.

To ensure that US partners are substantially involved in projects submitted in collaboration with non-US institutions, organizations, government agencies or unaffiliated citizens, PTTGrants funds may support only portions of projects that are undertaken or managed directly by US partners.

Concurrent NCPTT projects

PTTGrants proposals from applicants who have not completed other NCPTT projects — funded by contract, cooperative agreement, PTTGrants award, etc. — will be considered at NCPTT's discretion.

PTTGrants proposals that include consultants who have not completed other NCPTT projects will be considered at NCPTT's discretion.

Prospective applicants with questions regarding concurrent NCPTT projects should consult NCPTT staff contacts listed on page 11.

Appropriate projects

For further information on projects appropriate for the PTTGrants program, see *Guidelines for PTTGrants* for each PTTGrants project type.

The PTTGrants program focuses on technical issues in preservation and conservation as described for each PTTGrants project type. For the PTTGrants program, technical issues do not include advocacy, fundraising, organizational development and similar topics.

Natural history collections: Natural history collections are appropriate topics for PTTGrants proposals if the collections are historically significant and if projects involving natural history collections serve as case studies for significant conservation issues common among objects collections.

Ongoing projects: NCPTT will consider proposals for discrete portions of ongoing projects — ongoing in the sense that the projects began before the period of PTTGrants support and/or will continue after the period of support. Proposals for discrete portions of ongoing projects shall describe the ongoing project, the portion proposed for PTTGrants support, the products of the proposed PTTGrants-supported portion, and the schedules of the ongoing project and the portion proposed for PTTGrants support.

NCPTT will not consider proposals for projects —

- that are completed or underway, or will be underway prior to PTTGrants award - except as described under "ongoing projects" above
- with fixed commencement dates prior to July 1, 2001
- for which project funding beyond PTTGrants support is required but not secured
- that might be considered the mandated or regularly funded work of public agencies, organizations or institutions
- for which other public - Federal, state or local — funding sources are available, including the National Endowment for the Humanities, the National Endowment for the Arts and their equivalents at the state level

- that are exclusively or predominately focused on the treatment — restoration, stabilization, cyclic maintenance, bricks-and-mortar work, conservation, etc. - or study of a site, structure, object, collection, etc.
- Proposals in which 50 percent or more of the total project budget will fund undergraduate or graduate internships, assistantships or fellowships.

Proposals that include treatment or study of a site, structure, etc. are appropriate for the PTTGrants program if —

- US sites or structures are listed on or eligible for the National Register of Historic Places. Listing or eligibility shall be documented in the proposal's project description.
- the treatment is not standard or routine
- the project includes research, treatment development or training that may be applied beyond the proposed project.

Proposals that include treatment or study of museum objects or collections are appropriate for the PTTGrants program if the US museum is accredited by the American Association of Museums. Accreditation shall be documented in the proposal's project description.

Proposals previously submitted to the PTTGrants program

Applicants should discuss proposals declined in the 2000 or previous PTTGrants calls for proposals with NCPTT staff contacts listed on page 11 for the appropriate PTTGrants project type prior to preparing a similar proposal for the FY2001 PTTGrants program.

PTTGrants process

1. Proposal preparation

- a. See *Guidelines for PTTGrants* and *Proposal requirements* for each PTTGrants project type. Guidelines and requirements are rigorous regarding appropriate topics for proposals, format, length, required information, etc. Proposals that do not conform to the guidelines and requirements may be returned to the applicant without review.
- b. Format
 - There are no pre-printed application forms for the FY2001 PTTGrants program.
 - Proposals will be accepted in electronic format only. Submission via e-mail is preferred. If e-mail is not available, submission via 3.5-inch diskette is acceptable.
 - Acceptable document formats are pdf (portable document format), rtf (rich text format), Microsoft Word 97, and WordPerfect 6.1.

2. Proposal submission

- a. Required materials for each proposal include an electronic copy of the project proposal as described in the requirements for each PTTGrants project type. Cover sheet, project description, suggested peer reviewers and resume(s) shall comprise a single electronic document.
- b. Proposals that do not include the required materials in the required formats may be returned to applicants without review. Only materials described under *Proposal requirements* for each project type are considered in the PTTGrants process. Additional materials - letters of support or reference, sample publications, appendices, etc. - are not considered at any stage of the PTTGrants process.
- c. Send proposals to —
 - E-mail proposals@ncptt.nps.gov
 specify project type in the subject line
 - Diskette
 US Mail NCPTT
 NSU Box 5682
 Natchitoches, LA 71497
 Attention: PTTGrants/*specify project type*
 - Commercial and hand delivery
 NCPTT
 200 South Hall
 Northwestern State University
 Natchitoches, LA 71457
 Attention: PTTGrants/*specify project type*
- e. Proposal deadlines
 - E-mail: Received no later than February 1, 2001.
 - US Mail: Postmarked no later than February 1, 2001.
 - Commercial or hand delivery: Received at NCPTT no later than 5:00 PM CST on February 1, 2001.
 - Proposals that do not meet the deadlines may be returned to the applicant without review.

3. Proposal review

Criteria used in evaluating proposals are described in the *Guidelines for PTTGrants* for each PTTGrants project type.

Under legislation that governs PTTGrants, "Grants ... shall be allocated in such a fashion to reflect the

diversity of the historic preservation fields and shall be geographically distributed.... All such grants shall be awarded in accordance with accepted professional standards and methods, including peer review of projects." NCPTT also may review proposals for disciplinary, geographical and institutional distribution, and a National Park Service grants administrator will review for financial and policy matters.

Release of review comments: Upon request to NCPTT, review comments will be summarized and discussed. Peer reviewers' identities will be anonymous in summaries and discussions.

Release of proposals: Inquiries about specific proposals will be referred to applicants.

4. PTTGrants awards

The director of the National Park Service awards PTTGrants upon recommendation by NCPTT's executive director.

Only an appointed NPS grants administrator may make commitments, obligations or awards or authorize the expenditure of funds.

Applicants are cautioned that no commitment, obligation or award should be inferred from discussions with NCPTT staff. Principal investigators or organizations that make financial or personnel commitments in the absence of a grant agreement or other agreement signed by an NPS grants administrator do so at their own risk.

5. PTTGrants administration

PTTGrants are administered according to the US Department of the Interior's Uniform Administrative Requirements for Grants and Cooperative Agreements, Cost Principles, and Audits. Awards usually will be made as grants; in some cases awards may be made as contracts, interagency agreements or other forms of agreement.

Following preparation of the grant agreement by an NPS grants administrator and execution of the grant agreement by NPS and the grantee, financial and related matters will be administered by the NPS grants administrator and technical matters related to the PTTGrants project will be administered by NCPTT staff.

Questions?

Applicants with questions about the FY2001 PTTGrants program should consult NCPTT staff contacts listed on page 11.

Guidelines for PTTGrants: Project type 1

Scope

Information management projects promote development of public and professional access to and dissemination of preservation-related computerized data in the fields of archeology, historic architecture, historic landscapes, objects and materials conservation, and interpretation including ethnography and ethnohistory.

In the FY2001 PTTGrants program, special consideration will be given to proposals that focus on one or more of the following —

1. Delivering substantive preservation-related information via the World Wide Web
2. Applying innovative computer technologies to the management and dissemination of preservation-related information
3. Strategies for enabling long-term preservation of and access to digital data.

Maximum PTTGrants awards in project type 1

In previous years, awards have typically ranged from \$30,000 to \$40,000.

Period of support

Proposals for single year and multi-year support will be considered. Multi-year support will not exceed three years, and well-defined products shall be due each year. Funding beyond the first year will depend on project progress and availability of funds.

Principal review criteria

Provide information that satisfies the following criteria —

1. Proposal clearly describes project, goals and objectives, methodology, and results.
2. Project results will contribute substantially to preserving tangible cultural resources or to promoting the understanding of the importance of preserving cultural resources.
3. Proposal demonstrates a need for the proposed project and a US and/or a US and international audience for project results.
4. Proposal demonstrates good understanding of available technology and long-term management issues.
5. Project will produce meaningful results within the period of support.
6. Project is cost-effective.

Proposals in project type 1 that will not be considered —

1. Proposals ineligible for consideration as described on page 4
2. Projects whose principal focus is the purchase of computer hardware or software

Proposal requirements: Project type 1

See page 4 for additional information on proposal preparation, submission and deadlines.

Each proposal shall include a cover sheet, project description, suggested peer reviewers and resume(s), as follows —

Cover sheet

Submit a one-page cover sheet that includes the following information in the order stated.

1. Project type: 1-Information management
2. Project title
3. Names, addresses (street and/or post office box), telephone and facsimile numbers, and e-mail addresses of —
 - a. Applicant organization or institution
 - b. Principal project contact within applicant organization or institution
 - c. Person within applicant organization or institution authorized to sign grant agreement
 - d. Principal investigator(s) responsible for completing the proposed project

Multiple organizations may submit a collaborative or joint proposal, but only one person may sign the grant agreement and only one person may serve as principal project contact.
4. URL: Address of applicant organization's or institution's Web site
5. Project team: Project team members shall be experts in their fields, and their expertise shall be relevant to the proposed project. List each team member's name, title and affiliation.
6. Total NCPTT funding requested for this proposal in Federal fiscal year 2001, as shown in the project budget below
7. Project abstract: 100 words maximum

Project description

Submit a project description that includes the following information in the order stated. Project description shall not exceed eight pages in length. Use 8 1/2x11 paper; use 10 point type and 1-inch margins minimum; number all pages in sequence.

1. Project type: 1-Information management

2. Project title
3. Project narrative, including —
 - a. Background information and references
 - b. Work plan, including project objectives and associated tasks, and a project schedule
 - c. Detailed long-term management plan
 - d. Statement of the significance of the information, collections or cultural resources to the national preservation community
 - e. Statement of why the project is necessary or important
4. Products: List project's tangible products. For multi-year proposals, list tangible products for each year that PTTGrants support is requested.
5. Audience: Describe intended audience, including estimate of audience size where applicable.
6. Project budget

Use only the budget categories outlined below. For each category, apportion the cost to three funding sources — NCPTT, matching funds (cash), and donations other than cash. Show \$0 for sources that do not apply to a budget category. Itemize each budget category totaling more than \$1000.

For multi-year proposals, provide budgets for each year that PTTGrants support is requested.

Neither Federal nor non-Federal funds included in the project budget may be used to pay Federal employees for project work or expenses unless the Federal employees are paid through their Federal agency.

Only costs relevant and necessary to project completion may be paid with NCPTT funds.

- a. Salaries: This category includes applicant's staff gross salaries. Show person x rate x time.
- b. Payroll expenses for applicant's staff salaries — benefits, taxes, etc. — paid by applicant organization or institution
- c. Travel: This category includes travel costs for applicant's staff. Show number of persons x rate per day per location x days.
For travel funded by NCPTT, lodging, meals and incidental expenses may not exceed Federal rates by location. For Federal rates by location, see <<http://policyworks.gov/org/main/mt/homepage/mtt/perdiem/perd01d.html>> or contact NCPTT.
- d. Equipment: Rental is preferred; purchase of equipment will be considered if shown to be cost-effective. Distinguish between rental and purchase costs.
- e. Supplies
- f. Contractual: This category includes fees and travel costs for consultants. Principal investigators and others who are not on applicant's staff are considered consultants.
 - Fees: Show person x rate x time.

- Travel: Show number of persons x rate per day per location x days.
For travel funded by NCPTT, lodging, meals and incidental expenses may not exceed Federal rate by location. For Federal rates by location, see 6.c. above.
- g. Construction: NCPTT does not fund construction in PTTGrants project type 1; For projects type 2, 3, and 4, itemize costs for construction — mock-ups or samples, purchasing construction materials, etc. — required to complete the proposed project.
- h. Other: Postage, printing, etc.
- i. Direct costs: Sum a through h.
- j. Indirect costs
 - Organizations or institutions with an indirect cost agreement approved by a Federal agency: Cite approved rate, duration and Federal agency. Indirect costs funded by NCPTT shall not exceed approved rate or one-third of total direct costs, whichever is less.
 - Organizations or institutions without an indirect cost agreement approved by a Federal agency: Show \$0 for NCPTT funds requested for indirect costs.
- k. Total project budget: Sum direct and indirect costs for the three funding sources — NCPTT, matching funds (cash), and donations other than cash.

Suggested peer reviewers

Include names, addresses, telephone and facsimile numbers, and e-mail addresses.

Resume(s)

Submit a one-page resume for each principal investigator.

Guidelines for PTTGrants: Project type 2

Scope

Training and education projects promote training and education activities in the fields of archeology, historic architecture, historic landscapes, objects and materials conservation, and interpretation including ethnography and ethnohistory. Proposals for support in this category have included—

- workshops, courses and other training events for preservation and conservation practitioners.
- instructional materials including workbooks, video and CDs
- projects that explore the use of the Internet in delivering training to adult learners or to elementary and secondary school teachers
- conferences and symposia that seek to share recent research findings or promote technology transfer from other disciplines.

Maximum PTTGrants awards in project type 2

In previous years, awards for workshops, courses, and training activities have typically ranged from \$30,000 to \$40,000 while awards for conference and symposia support have ranged from \$10,000 to \$20,000.

Period of support

Single year support for conferences and symposia. For all other proposals, single year and multi-year support will be considered. Multi-year support will not exceed three years, and well-defined products shall be due each year. Funding beyond the first year will depend on project progress and availability of funds.

Principal review criteria

Applicants shall provide information that satisfies the following review criteria —

1. Proposal clearly describes project, goals and objectives, methodology, and results.
2. Project results will contribute substantially to preserving tangible cultural resources.
3. Proposal demonstrates a need for the proposed project and a US and/or a US and international audience for project results.
4. Project will produce meaningful results within the period of support.
5. Project is innovative.
6. Project is cost-effective.

Proposals in project type 2 that will not be considered —

1. Proposals ineligible for consideration as described on page 4.
2. Proposals for support of ongoing or regularly scheduled meetings or conferences.
3. Proposals for conferences for which equivalent results could be obtained at regular meetings of professional

organizations.

4. Support for publishing proceedings of conferences that are not supported by the PTT Grants program.

Proposal requirements: Project type 2

See page 4 for additional information on proposal preparation, submission and deadlines.

Each proposal shall include a cover sheet, project description, suggested peer reviewers and resume(s), as follows —

Cover sheet

Submit a one-page cover sheet that includes the following information in the order stated.

1. Project type: 2-Training and education
2. For other information concerning the cover sheet, refer to Project Type 1.

Project description

Submit a project description that includes the following information in the order stated. Project description shall not exceed eight pages in length. Use 8 1/2x11 paper; use 10 point type and 1-inch margins minimum; number all pages in sequence.

1. Project type: 2-Training and education
2. Project title
3. Project narrative including —
 - a. Background information and references
 - b. Work plan, including project objectives and associated tasks, and a project schedule. If appropriate, attach a one-page draft curriculum.
 - c. For conferences and symposia, include tentative agenda with location and date of event, method of announcement or invitation, confirmed speakers, etc.
4. Products: List project's tangible products such as curriculum, course materials, video, script, etc. For multi-year proposals, list tangible products for each year that PTTGrants support is requested.
5. Evaluation: Describe method for evaluating project results, such as report by independent evaluator, summary of project participants' comments, etc.
6. Statement of national need(s) addressed by the project
7. Audience: Describe intended audience, including estimate of size where applicable.
8. Continuing education (if applicable): Describe how the project meets continuing education standards of applicable professional organizations — American Institute of Architects, American Institute for Conservation of Historic and Artistic Works, etc. —, and how project participants will receive continuing education credit.
9. Project budget — for budget information, refer to Project Type 1.

Suggested peer reviewers

Include names, addresses, telephone and facsimile numbers and e-mail addresses.

Resume(s)

Submit a one-page resume for each principal investigator.

Guidelines for PTTGrants: Project type 3

Scope

Research proposals shall focus on the application of technology to the preservation of cultural resources including archeological sites and monuments, historic architecture, historic landscapes, and museum objects. Technology refers broadly to any equipment, method, or technique that can be applied to the discovery, analysis, interpretation, conservation, protection, and management of an historic object or collection of objects, site, structure or landscape.

Maximum PTTGrants awards in project type 3

In previous years, awards have typically ranged from \$35,000 to \$40,000.

Period of support

Proposals for single year and multi-year support will be considered. Multi-year support will not exceed three years, and well-defined products shall be due each year. Funding beyond the first year will depend on project progress and availability of funds.

Principal review criteria

Provide information that satisfies the following review criteria —

1. Proposal clearly describes project, goals and objectives, methodology, and results.
2. Project results will contribute substantially to preserving tangible cultural resources.
3. Proposed research will lead to new discoveries or advances in the field of historic preservation.
4. Proposed research will have broad application in preservation practice.
5. Proposal demonstrates the commitment of principal investigator(s) to ongoing work in preservation.
6. Research methodology is technically sound.
7. Principal investigator(s) is competent.
8. Project is innovative.
9. Project is cost-effective.

Proposals in project type 3 that will not be considered —

Proposals ineligible for consideration as described on page 4.

Proposal requirements: Project type 3

See page 4 for additional information on proposal preparation, submission and deadlines.

Each proposal shall include a cover sheet, project description, suggested peer reviewers and resume(s), as follows —

Cover sheet

Submit a one-page cover sheet that includes the following information in the order stated.

1. Project type: 3-Research
2. For other information concerning the cover sheet, refer to Project Type 1.

Project description

Submit a project description that includes the following information in the order stated. Project description shall not exceed eight pages in length. Use 8 1/2x11 paper; use 10 point type and 1-inch margins minimum; number all pages in sequence.

1. Project type: 3-Research
2. Project title
3. Project narrative, including —
 - a. Review of previously published work on the proposal topic
 - b. Description of research methodology or approach
 - c. Work plan, including project objectives and associated tasks, and a project schedule
 - d. Discussion of why this research is necessary or important, and potential benefits to the preservation and conservation community
 - e. Statement of researcher(s) competence
4. Products: List project's tangible products. For multi-year proposals, list tangible products for each year that PTTGrants support is requested.
5. Dissemination: Describe how the principal investigator(s) will disseminate project results. Grantees are encouraged to present the progress of their research at conferences and to publish project results in peer-reviewed periodicals.
6. Project budget — for budget information, refer to Project Type 1

Suggested peer reviewers

Include names, addresses, telephone and facsimile numbers, and e-mail addresses.

Resume(s)

Submit a one-page resume for each principal investigator.

Guidelines for PTTGrants: Project type 4

Scope

NCPTT's work includes a Materials Research Program that provides a scientific foundation for understanding cultural resources decay caused by pollutants.

Proposals for funding in this project type shall include —

1. Research activities that focus on
 - developing our understanding of how cultural resources deteriorate as a result of exposure to indoor or outdoor air pollution
 - enhancing our ability to protect cultural resources from the detrimental effects of air pollution through development of new management strategies and conservation treatments.
2. Publication activities that focus on collating, synthesizing, reviewing or interpreting existing knowledge about cultural resource decay for development of book-length, monograph, or article-length manuscripts.

Maximum PTTGrants awards in project type 4

In previous years, awards for research activities have typically ranged from \$40,000 to \$50,000 while awards for publications support have ranged from \$18,000 to \$20,000.

Period of support

For research, proposals for single year and multi-year support will be considered. Multi-year support will not exceed three years. Well-defined products shall be due each year. Funding beyond the first year will depend on project progress and availability of funds. For publications, the period shall not exceed one year from date of the award.

Principal review criteria

For research, applicants shall provide information that satisfies the following review criteria —

1. Proposal clearly describes project, methodology, and results.
2. Project results will contribute substantially to preserving tangible cultural resources.
3. Proposal demonstrates a need for the proposed project and an audience for project results.
4. Proposal demonstrates the commitment of principal

- investigator(s) to ongoing work in preservation.
5. Project will produce meaningful results within the period of support.
6. Project is innovative.
7. Project is cost-effective.

For publications, applicants shall provide information that satisfies the following review criteria—

1. Proposal clearly describes project and results.
2. Proposed publication has utility or relevance for the preservation community.
3. Project results will contribute substantially to preserving tangible cultural resources.
4. Principal investigator(s) is a recognized expert on the topic and has appropriate writing skills.
5. Project is cost-effective.

Proposals in project type 4 that will not be considered —

1. Proposals ineligible for consideration as described on page 4.
2. Publication of conference proceedings
3. Support for producing or distributing regular publications such as newsletters or journals.

Proposal requirements: Project type 4

See page 4 for additional information on proposal preparation, submission and deadlines.

Each proposal shall include a cover sheet, project description, suggested peer reviewers and resume(s), as follows —

Cover sheet

Submit a one-page cover sheet that includes the following information in the order stated.

1. Project type: 4-Environmental effects
2. For other information concerning the cover sheet, refer to Project Type 1.

Project description

Submit a project description that includes the following information in the order stated. Project description shall not exceed eight pages in length. Use 8 1/2x11 paper; use 10 point type and 1-inch margins minimum; number all pages in sequence.

1. Project type: 4-Environmental effects, Research (or Publications)
2. Project title

3. Project narrative, Research
 - a. Review of previously published work on the subject
 - b. Description of research methodology or approach
 - c. Work plan, including project objectives and associated tasks, and a project schedule
 - d. Discussion of why this research is necessary or important, and potential benefits to the preservation community
 - e. Discussion of how this research is innovative.

Project narrative, Publications

- a. Statement of need for the publication including an overview of previous publications — review articles, books, monographs, etc. — on the topic
 - b. Project work plan, including project objectives and associated tasks, and a project schedule
 - c. Statement of how the publication will benefit the preservation and conservation community
 - d. Statement of researcher expertise.
4. Products: List project's tangible products. For multi-year proposals, list tangible products for each year that PTTGrants support is requested.
 5. Dissemination: Describe how the principal investigator(s) will disseminate project results. Grantees in research are encouraged to present the progress of their research at conferences and to publish project results in peer-reviewed periodicals. Grantees in publication must describe how they intend to publish the resulting manuscript.
 6. Audience: Describe intended audience.
 7. Project budget — for budget information, refer to Project Type 1.

Suggested peer reviewers

Include names, addresses, telephone and facsimile numbers, and e-mail addresses.

Resume(s)

Submit a one-page resume for each principal investigator.

Questions about NCPTT and the PTTGrants program?

For more information on NCPTT and the PTTGrants program, contact NCPTT staff —

Project type 1 Information management
 Mary S. Carroll
 Information Management Director
mary_carroll@ncptt.nps.gov

Project type 2 Training and education
 Frances Gale
 Training Director
frances_gale@ncptt.nps.gov

Project type 3 Research
 Dr. Mark Gilberg
 Research Director
mark_gilberg@ncptt.nps.gov

Project type 4 Environmental effects
 Dr. Mary F. Striegel
 Materials Research Program Director
mary_striegel@ncptt.nps.gov

National Center for Preservation Technology and Training

Regular US mail delivery: NSU Box 5682
 Natchitoches, LA 71497

FedEx, UPS, etc. delivery: 200 South Hall
 Northwestern State University
 Natchitoches, LA 71457

Telephone 318/357-6464
 Facsimile 318/357-6421
 E-mail ncptt@ncptt.nps.gov
 Web www.ncptt.nps.gov

FY2001 PTTGrants Call for Proposals

Issued November 2000

Editor
 Frances Gale

Contributors
 Mary S. Carroll
 Frances Gale
 Mark Gilberg
 Mary F. Striegel
 Robert Stearns

Newsletter Coordinator
 Sheila Richmond



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Associate Director, Cultural Resource
Stewardship and Partnerships
Katherine H. Stevenson

National Center for Preservation Technology and Training

Executive Director
Dr. Robert Stearns

Information Management Director
Mary S. Carroll

Training Director
Frances Gale

Research Director
Dr. Mark Gilberg

Materials Research Program Director
Dr. Mary F. Striegel

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